longer subject to

Section 16. Form 4 or

Form 5 obligations

 $\ \, \text{may continue.} \, \textit{See}$

Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or

Section 30(h) of the Investment Company Act of 1940

SECURITIES

OMB Number: 0287 Estimated average burden hours per 0.5 response..

Print or Type Respor	ises)											
Name and Address Brooks Jayme L.		2. Issuer Name and Ticker or Trading Symbol CAPSTONE TURBINE Corp [cpst]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner				
(Last) (First) (Middle) 21211 NORDHOFF STREET			3. Date of Earliest Transaction (Month/Day/Year) 05/14/2014									
CHATSWORTH,		4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) Form filed by One Reporting Person Form filed by More than One Reporting Person				
(City) (State) (Zip)			Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned								Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	Exect any	Deemed action Date, if ath/Day/Year)	Code (Instr. 8)		4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) (A) or Amount (D) P))	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	Direct (D)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common Stock	05/14/2014			٨		25,000	٨	0.2	121 119	D		

Reminder: Report on a separate line for each class of securities benefici lirectly or indirectly.	ally owned	
	Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.	SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

 Title of 	2.	Transaction	3A. Deemed	4.		Numb	er	Date Exercisal	ole and	Title and	Amount	Price of	Number of	10.	Nature	
Derivative	Conversion	Date	Execution Date, if	Transac	tion	of		Expiration Date		of Underlyi	ing	Derivative	Derivative	Ownership	of Indirect	
Security	or Exercise	(Month/Day/Year)	any	Code		Derivativ	/e	(Month/Day/Yea	r)	Securities	_	Security	Securities	Form of	Beneficial	
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Securitie	s			(Instr. 3 and	14)	(Instr. 5)	Beneficially	Derivative	Ownership	
	Derivative					Acquired	i						Owned	Security:	(Instr. 4)	
	Security					(A) or							Following	Direct (D)		
						Disposed	d of						Reported	or Indirect		
						(D)							Transaction(s)	(I)		
						(Instr. 3,	4,						(Instr. 4)	(Instr. 4)		
						and 5)										
											Amount					
											or					
									Expiration	Title	Number					
								Exercisable	Date		of					
				Code	V	(A)	(D)				Shares					
Employee																
Stock																
Option	\$ 1.40	05/14/2014		۸		89,700		05/14/2015 ⁽¹⁾	05/14/2024	Common Stock	20.700	\$0	89,700	D		
	\$ 1.40	03/14/2014		Α		09,700		05/14/2015	03/14/2024	Stock	89,700	\$0	69,700	Ъ		
(Right-to-																
Buy)																

Reporting Owners

Deporting Owner Name / Address	Relationships							
Reporting Owner Name / Address		10% Owner	Officer	Other				
Brooks Jayme L. 21211 NORDHOFF STREET CHATSWORTH, CA 91311			VP Finance & CAO					

Signatures

Jayme Brooks, Reporting Person	05/16/2014
Signature of Reporting Person	Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) 25% of the options vest on this date; and monthly thereafter on a pro rata basis following the next 36 months.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.