UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL | | | | | |
|--------------------------|-----------|--|--|--|--|
| DMB Number: | 3235-0287 | | | | |
| Estimated average burden | | | | | |
| ours per respon | se 0.5 | | | | |

longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| or Type Responses) Ime and Address of Reporting Person * DEN YON 2. Issuer Name and Ticker or Trading Symbol CAPSTONE TURBINE Corp [cpst] | | | | | 5 | 5. Relationship of Reporting Person(s) to Issuer | | | | | | | |
|---|--|--|---|---|--|---|---|---|--|---|--|---|---|
| (Middle) | 3. Da | CAPSTONE TURBINE Corp [cpst] 3. Date of Earliest Transaction (Month/Day/Year) | | | | | | tor | | 10% Owner | elow) | | |
| | | 08/13/2018 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person | | | | | | | |
| | | | | | | | | - | Form file | ed by More than | One Reporting I | erson | |
| (Zip) | | Ta | ble I - | Non- | -Deri | ivative S | ecurities | Acquir | red, Disp | osed of, or | Beneficially | Owned | |
| 1.Title of Security (Instr. 3) 2. Transaction Date (Month/Day/Year | | any | f Code (Instr. 8) | | etion | | | of (D) | Beneficially Owned Following Reported Transaction(s) | | Following n(s) | Ownership Form: | 7. Nature of Indirect Beneficial Ownership |
| | (IVIOIII | m/Day/Tear | | ode | V | Amoun | (A) or (D) | Price | , | | | or Indirect | |
| 08/13/2018 | | |] | P | | 5,000 | ΙΔ Ι | * | 153,758 | | | D | |
| line for each class of | securities 1 | beneficially | owned | | • | | | | | | | | |
| | | | | | cont | tained i | n this fo | rm are | not req | uired to re | spond unl | ess | EC 1474 (9- 02) |
| Table II | | | | • | | • | | | ly Owned | 1 | | | |
| Execution (Day/Year) any | Date, if | Code | 5. Number of Derivative | | and Expiration Date (Month/Day/Year) Ar Ur Se | | | Amo Unde Secu (Instr | ount of erlying rities r. 3 and | Derivative Security (Instr. 5) | Derivative Securities Beneficially Owned Following Reported | Ownership Form of Derivative Security: Direct (D) or Indirect | (Instr. 4) |
| | | | | | Date | a | Expiration | on! | Amount or Number | | | | |
| , | (Middle) (Zip) 2. Transaction Date (Month/Day/Ye 08/13/2018 Pline for each class of state | (Middle) (Middle) 3. Da 08/1 4. If (Zip) 2. Transaction Date (Month/Day/Year) 08/13/2018 2. Transaction Date (Month/Day/Year) 1. Exect any (Month/Day/Year) 2. Transaction Exect any (Month/Day/Year) 3. Deemed Execution Date, if any | (Middle) (Middle) 3. Date of Earlies 08/13/2018 4. If Amendment (Zip) Ta 2. Transaction Date (Month/Day/Year) (Month/Day/Year) 08/13/2018 Table II - Derivative Securities beneficially Table II - Derivative Securities beneficially Saction 3A. Deemed Execution Date, if Transaction Transaction Date, if Transaction | (Middle) 3. Date of Earliest Tran 08/13/2018 4. If Amendment, Date (Zip) Table I - Execution Date, if (Month/Day/Year) (Month/Day/Year) Table II - Derivative Securities Ac (e.g., puts, calls, warrant saction any (Month/Day/Year) Saction 3A. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year) Saction 3A. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 8) | CAPSTONE TURBINE (Middle) 3. Date of Earliest Transaction 08/13/2018 4. If Amendment, Date Origin (Zip) Table I - Non- 2A. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year) P Table II - Derivative Securities Acquire (e.g., puts, calls, warrants, opton of Date (Month/Day/Year) Saction 3A. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year) Saction 3A. Deemed Execution Date, if any (Month/Day/Year) (Month/Day/Year) (Month/Day/Year) (Instr. 8) 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, publication of D) (Instr. 3, publication of | CAPSTONE TURBINE Corgonal (Middle) 3. Date of Earliest Transaction (Molth 1987) 188 | CAPSTONE TURBINE Corp [cpst] 3. Date of Earliest Transaction (Month/Da 08/13/2018 4. If Amendment, Date Original Filed(Month Date Original Filed(Month Date Original Filed) 2. Transaction Date (Month/Day/Year) 3. Transaction Code (A) or I (Instr. 8) Code V Amount Code V Amount Date (e.g., puts, calls, warrants, options, converting of the form distribution Date (e.g., puts, calls, warrants, options, converting Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3) (Month/Day/Year) | CAPSTONE TURBINE Corp [cpst] 3. Date of Earliest Transaction (Month/Day/Year) 08/13/2018 4. If Amendment, Date Original Filed(Month/Day/Year) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Month/Day/Year) (Month/Day/Year) 2. Transaction Date, if (A) or Disposed of (D) (Instr. 8) 2. Transaction Date, if (A) or Disposed of (D) (Instr. 8) 2. Transaction Date (A) or Disposed of (D) (Instr. 8) 2. Transaction Date (A) or Disposed of (D) (Instr. 8) 3. Transaction (A) or Disposed of (A) or Disposed of (D) (Instr. 8) 4. Securities Acquired, Disposed of, or Be (e.g., puts, calls, warrants, options, convertible securities and Expertised Date (Instr. 8) 3. Transaction (A) or Disposed of (D) (Instr. 8) | CAPSTONE TURBINE Corp [cpst] 3. Date of Earliest Transaction (Month/Day/Year) 08/13/2018 4. If Amendment, Date Original Filed(Month/Day/Year) 08/13/2018 2. Transaction Date Execution Date, if (Month/Day/Year) 2. (Month/Day/Year) 2. (Month/Day/Year) 2. (Month/Day/Year) 2. (Month/Day/Year) 2. (Month/Day/Year) 2. (Month/Day/Year) 3. (Transaction Code (A) or Disposed of (D) (Instr. 8) (Instr. 3, 4 and 5) Code V Amount (D) Price 2. (A) or Price 2. (A) or Price 3. (A) or Price 4. (A) or Price 4. (A) or Price 4. (A) or Price 5. (A) or Price 5. (A) or Price 6. (A) or Pric | CAPSTONE TURBINE Corp [cpst] 3. Date of Earliest Transaction (Month/Day/Year) 08/13/2018 4. If Amendment, Date Original Filed(Month/Day/Year) 1. Table I - Non-Derivative Securities Acquired, Disposed of (D) (Instr. 3) 2. Transaction Date (Month/Day/Year) 2. Transaction Date (Instr. 8) 2. Transaction Date (A) or Disposed of (D) (Instr. 3, 4 and 5) (Instr. 3 and 4) 2. Transaction (A) or Disposed of (D) (Instr. 3) (Instr. 3) (Instr. 3) (Instr. 3) 2. Transaction (A) or Disposed of (D) (Instr. 3) (Instr. 3) | CAPSTONE TURBINE Corp [cpst] X_Director Officer (give title bele Non-Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) Date (Instr. 3) Date (I | CAPSTONE TURBINE Corp [cpst] X_ Director Officer (give title below) Officer (give title below) | CAPSTONE TURBINE Corp [cpst] X_ Director Officer (give title below) 10% Owner Owner Owner Officer (give title below) 10% Owner Owner Owner Officer (give title below) 10% Owner |

| Describer Occurs Name / Address | Relationships | | | | | | | |
|--|---------------|-----------|---------|-------|--|--|--|--|
| Reporting Owner Name / Address | Director | 10% Owner | Officer | Other | | | | |
| JORDEN YON 16640 STAGG STREET VAN NUYS, CA 91406 | X | | | | | | | |

Signatures

| Colby Petersen, Power of Attorney for Yon Y. Jorden, Reporting Person | 08/13/2018 |
|---|------------|
| **Signature of Reporting Person | Date |

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.