longer subject to

Section 16. Form 4 or

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

OMB APPROVAL OMB 3235-Number: 0287 Estimated average burden hours per 0.5 response..

Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

Name and Addres JAGGERS JOHN		2. Issuer Name and Ticker or Trading Symbol CAPSTONE TURBINE Corp [CPST]						5. Relationship of Reporting Person(s) to Issuer (Check all applicable) _X_Director10% Owner			
C/O SEVIN ROS NOEL ROAD, S	55	3. Date of Earliest Transaction (Month/Day/Year) 08/30/2013						Officer (give title Other (specify below)			
DALLAS, TX 75		4. If Amendment, Date Original Filed(Month/Day/Year)						6. Individual or Joint/Group Filing(Check Applicable Line) X. Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City)	(State) (Zip)		Table I - I	Non-Der	ivati	ive Secur	ities A	Acqui	ired, Disposed of, or l	Beneficially	Owned
(Instr. 3) Date Exec (Month/Day/Year) any		Exect any	,			4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5			5. Amount of Securities Beneficially Owned Following Reported Transaction(s)	6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	V	Amount	or	Price	(Instr. 3 and 4)	(I) (Instr. 4)	
Common Stock	08/30/2013			M		34,653	A	\$ 1.11	411,506	D	
Common Stock									9,744	I (1)	See Footnote
Reminder: Report or directly or indirectly.	n a separate line for ea	ach cla	ss of securities	s benefic	ially	owned					
y or maneedy.					infe rec	ormation quired to	res	itaine pond	nd to the collection ed in this form are r unless the form dis control number.	not	SEC 1474 (9-02)

Derivative Security	Conversion	Date (Month/Day/Year)	Execution Date, if	Code (Instr. 8)		Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		Securities		Derivative Security (Instr. 5)	Derivative Securities Beneficially Owned Following	Ownership Form of Derivative	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)		Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s)			
Restricted Stock Units	\$ 1.11	08/30/2013		M			34,653 (2)	08/30/2013	08/30/2022	Common Stock	34,653	\$0	34,653	D		]
Restricted Stock Units	\$ 1.11	08/30/2013		A		31,532		08/30/2014	08/30/2023	Common Stock	31,532	\$0	31,532	D		

### **Reporting Owners**

Donouting Owner Name / Address	Relationships							
Reporting Owner Name / Address	Director	10% Owner	Officer	Other				
JAGGERS JOHN V C/O SEVIN ROSEN FUNDS 13455 NOEL ROAD, SUITE 1670 DALLAS, TX 75240	X							

### **Signatures**

John V. Jaggers	09/03/2013
Signature of Reporting Person	Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Total Common shares of 9,744 represents 16 of such common shares held by SRB Associates VII L.P. ("SRBVII"), and 9,728 of such common shares held by Sevin Rosen VII Affiliates Fund L.P. ("SRVII AFF"). Reporting person is a general partner of SRBVII, and a general partner of the general partner of SRVII AFF, and disclaims beneficial ownership of these shares except to his proportionate partnership interest in these shares.

(2) Restricted stock units that fully vested on 8/30/2013.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.