### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

| OMB APPROVAL      |       |  |  |  |  |
|-------------------|-------|--|--|--|--|
| OMB               | 3235- |  |  |  |  |
| Number:           | 0287  |  |  |  |  |
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| burden hours per  |       |  |  |  |  |

response...

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| (Print or Ty  | ype Respons   | ses)                                 |             |  |          |                       |   |                                 |                   |   |  |             |  |        |                         |  |   |  |
|---|---|--------------------------------------|-------------|--|----------|-----------------------|---|---------------------------------|-------------------|---|--|-------------|--|--------|-------------------------|--|---|--|
| 1. Name and Address of Reporting Person *<br>LOTAN NOAM |   |                                      |             | 2. Issuer Name and Ticker or Trading<br>Symbol<br>CAPSTONE TURBINE Corp [cpst] |          |                       |   |                                 | Iss               | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) |  |             |  |        |                         |  |   |  |
| (Last) (First) (Middle) 21211 NORDHOFF STREET           |   |                                      |             | 3. Date of Earliest Transaction<br>(Month/Day/Year)<br>08/29/2013              |          |                       |   |                                 |                   | Officer (give title Other (specify below)                               |  |             |  |        |                         |  |   |  |
| CHATSV  | (St<br>WORTH, (   | reet) CA 91311                       |             | f Amendme<br>d(Month/Day   |          | Ori                   | ginal   |                                 |                   | App<br>_X_  | Individual or<br>plicable Line)<br>_ Form filed by (<br>_ Form filed by N                | One Rep     | oorting Pers   | son    |                         |  |   |  |
| (City)  | (S  | rate) (Zip)                          | Т           | Гable I - N  | on-Der   | ivati                 | ive Seci  | ıritie                          | s Acc             | quired  | l, Disposed o  | of, or      | Benefici   | ally O | wned                    |  |   |  |
| 1.Title of Security<br>(Instr. 3)                       |   | 2. Transaction Date (Month/Day/Year) | any         | Deemed 3. ution Date, if Transac Code uth/Day/Year) (Instr. 8                  |          | Disposed (Instr. 3, 4 |   | red (A<br>sed of                | (D) or (D) and 5) | Se<br>Be<br>Fo  | 5. Amount of<br>Securities<br>Beneficially Owner<br>Following Reporter<br>Transaction(s) |             | vned Form:   |        |                         | lirect<br>icial<br>ership  |   |  |
|   |   |                                      |             |  | Code     | V                     | Amou  | OI                              | r                 |   | (Instr. 3 and 4)   |             | (I)<br>(Instr. 4)  |        |                         |  |   |  |
| Common  | Stock   | 08/29/2013                           |             |  | F        | •                     | 12,12   |                                 | \$<br>1.          | 53  | 3,802  |             | D  |        |                         |  |   |  |
| Common  | Stock   | 08/29/2013                           |             |  | A        |                       | 31,53   | 2 A                             | \$                |   | 5,334  |             | D  |        |                         |  |   |  |
| Reminder:<br>directly or                                |   | separate line for ea                 | ch class of | f securities   | benefici | Per<br>info           | rsons<br>ormati<br>quired   | on co<br>to re                  | onta<br>spoi      | ined i<br>nd un   | to the colle<br>in this form<br>less the fo  | are<br>rm d | not  | a      | SEC (9                  | 1474<br>9-02)  |   |  |
|   |   |                                      |             |  | -        |                       | •   |                                 |                   |   | cially Owned   | l           |  |        |                         |  |   |  |
| 1. Title of   |   | 3. Transaction                       | 3A. Dee     |  | 4.       |                       | 5.  |                                 |                   |   | es)  | 7. Ti       | tle and  |        |                         | 9. Number of   | 10.   | 11. Nat                                    |
| Derivative<br>Security<br>(Instr. 3)                    | Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security |                                      | any         | on Date, if /Day/Year)   | Code     |                       | n Numl<br>of<br>Deriv<br>Secur<br>Acqu<br>(A) o<br>Dispo<br>of (D<br>(Instr<br>4, and | rative rities ired rosed ) . 3, |                   |   | h/Day/Year)  |             | Amount of<br>Underlying<br>Securities<br>(Instr. 3 and<br>4) |        | vative<br>rity<br>r. 5) | Derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | Ownership<br>Form of<br>Derivative<br>Security:<br>Direct (D)<br>or Indirect<br>(I)<br>(Instr. 4) | of Indir<br>Benefic<br>Owners<br>(Instr. 4 |
|   |   |                                      |             |  | Code     | v                     | (A)   |                                 | Date<br>Exer      |   | Expiration Date  | Title       | Amount<br>or<br>Number<br>of<br>Shares                       |        |                         |  |   |  |

# **Reporting Owners**

| Donouting Own on Name / Adduses | Relationships |           |         |       |  |  |  |
|---------------------------------|---------------|-----------|---------|-------|--|--|--|
| Reporting Owner Name / Address  | Director      | 10% Owner | Officer | Other |  |  |  |
| LOTAN NOAM                      |               |           |         |       |  |  |  |
| 21211 NORDHOFF STREET           | X             |           |         |       |  |  |  |
| CHATSWORTH, CA 91311            |               |           |         |       |  |  |  |

## **Signatures**

| Clarice Hovsepian, Power of Attorney for Noam Lotam, Reporting Person | 09/03/2013 |  |
|---|------------|--|
| Signature of Reporting Person   | Date       |  |

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were withheld pursuant to the prior authorization of the Reporting Person to cover the tax liability resulting from the vesting of restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.