## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0287
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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. *See* Instruction 1(b).

#### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)  1. Name and Address of Reporting Person *  Powelson Robert F				2. Issuer Name and Ticker or Trading Symbol CAPSTONE TURBINE Corp [CPST]					:	5. Relationship of Reporting Person(s) to Issuer (Check all applicable)X_ Director10% Owner					
C/O CAI	(Last) (First) (Middle) C/O CAPSTONE TURBINE CORPORATION, 16640 STAGG STREET				3. Date of Earliest Transaction (Month/Day/Year) 08/27/2020						Office	r (give title beld	ow)	Other (specify	below)
VAN NU	JYS, CA 9	(Street)		4. If Ame	endment,	Date Orig	inal File	ed(Month/	Day/Year)	-	X_ Form fil	ed by One Rep	Group Filing orting Person on One Reporting		ble Line)
(City	·)	(State)	(Zip)		Table I - Non-Derivative Securities Acquir				red, Dispo	osed of, or	Beneficially	Owned			
1.Title of Security (Instr. 3)		2. Transaction Date (Month/Day/Yea	Execution any	2A. Deemed Execution Date, is any (Month/Day/Year		(	4. Securities Acqu (A) or Disposed or (Instr. 3, 4 and 5)		of (D)	Beneficial	nt of Securities ally Owned Following I Transaction(s) and 4)		6. Ownership Form: Direct (D) or Indirect	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
						Code	V	Amount	(A) or (D)	Price				(I) (Instr. 4)	
Common	Stock		08/27/2020			A	1	11,710	Α	\$ 0	20,645			D	
		eparate line fo	r each class of sec	urities benef	icially ov	wned direc	Perso contai	ns who	respo this fo	rm are	not requ		spond unle	ss	1474 (9-02)
		eparate line fo		- Derivative	Securit	ies Acquir	Perso contai the fo	ns who ined in rm disp	o respo this fo plays a f, or Ber	rm are currer reficiall	not requ itly valid	ired to re		ss	1474 (9-02)
Reminder:	Report on a s	3. Transaction Date (Month/Day/	Table II  3A. Deeme Execution I any	- Derivative (e.g., puts,	e Securit calls, wa nsaction e tr. 8)	ies Acquir	Perso contaithe for the form of the form o	ns who ined in rm disp	o respo this for plays a f, or Ber ible secutive isable in Date	rm are currer reficiall rities)  7. Tir Amo Unde Secu	not requitly valid  y Owned  tle and unt of erlying	OMB con 8. Price of	spond unle	of 10. Owners Form o Derivat Securit Direct ( or Indir	11. Nat of Indir f Benefic owners y: (Instr. 4

### **Reporting Owners**

	Relationships					
Reporting Owner Name / Address	Director	10% Owner	Officer	Other		
Powelson Robert F C/O CAPSTONE TURBINE CORPORATION 16640 STAGG STREET VAN NUYS, CA 91406	X					

#### **Signatures**

/s/ Colby Peterson, Attorney-in-Fact	08/28/2020
**Signature of Reporting Person	Date

#### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.